Atty. Docket No.: 18396/2112

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Sattelle, et al.

Serial No.:

10/010,873

Filed:

December 7, 2001

Entitled:

Recombinant Nematode Nicotinic

Receptor and Uses

Examiner:

Not Yet Assigned

Group Art Unit: Not Yet Assigned

Conf. No.:

Not Yet Assigned

### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.

> Kathleen M. Williams Name of Pers iling/Paper

Signature of Person Mailing Paper

**Commissioner for Patents** Washington, D.C. 20231

## TRANSMITTAL LETTER

Enclosed for filing in the above-identified patent application, please find the following documents:

- Information Disclosure Statement; 1.
- 2. Form PTO-1449;
- Copies of Cited References; and 3.
- 4. Return Post Card.

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 18396/2112. A duplicate of this transmittal letter is enclosed for this purpose.

Date: September 18, 2002

Respectfully submitted,

Name: Wathleen M. Williams

Registration No.: 34,380 Customer No.: 29933

Palmer & Dodge LLP

111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100





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Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

**Commissioner for Patents** Washington, D.C. 20231

# INFORMATION DISCLOSURE STATEMENT <u>UNDER 37 CFR §§§ 1.56, 1.97 AND 1.98</u>

Dear Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56, Applicant submits this Information Disclosure Statement pursuant to 37 CFR §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office. A listing of the cited documents is also enclosed, as well as, for the Examiner's convenience, copies of the documents in the list. Pursuant to CFR § 1.97(b)(3), because this Statement is being submitted before the first Office Action on the merits, no fee is required.

Applicant does not intend to represent that any of the documents submitted herein are material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicant respectfully requests that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,

Date: September 19, 2002

Name: Kathleen M. Williams

Registration No.: 34,380 Customer No.: 29933 Palmer & Dodge LLP 111 Huntington Avenue

Boston, MA 02199-7613

Tel: 617-239-0100

USPTO Form 14 Patent and Trade	mark Office	S. Department of Commerce  DISCLOSURE STA	TEMENT	Attorney Docket No. 18396/2112		Serial No. 10/010,873			
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Examiner	PAIE	Document No.	Publication	Country	Class	Subclass	Translation		
Initial			Date				YES	NO	
	1	WO 90/09096	August 23, 1990	PCT	N/A			NC	
	2	WO 94/20617	September 15, 1994	PCT	C12N	15/12		NC	
	3	WO 96/41876	December 27, 1996	PCT	C12N	15/12		NO	
					ľ		<u></u>	<u> </u>	
OTHER E	OCUM	IENTS (including Au	thor, Title, Date, Perti	nent Pages, etc.)					
	4	The C. elegans Sec for Investigating B	ode C. Eleg	egans: A Platform					
	5	Clandinin, et al., Inositol Trisphosphate Mediates a RAS-Independent Response to LET-23 Receptor Tyrosine Kinase Activation in C. Elegens, 1998, Cell, 523-533							
	6	Fleming, et al., Caenorhabditis elegans Levamisole Resistance Genes lev-1, unc-29, and unc-38 Encode Functional Nicoinic Acetylcholine Receptor Subunits, 1997, <i>The Journal of Neuroscience</i> , 5843-5857							
	7	Fleming, et al., Molecular Cloning and in vitro Expression of C. elegans and Parasitic Nematode Ionotropic Receptors, 1996, <i>Parasitology</i> , S175-S190							
	8	Lewis, et al., Cholinergic Receptor Mutants of the Nematode Caenorhabditis elegens, 1987, <i>The Journal of Neuroscience</i> , 3059-3071							
	9	Martin, et al., Target Sites of Anthelmintics, 1997, Parasitology, S111-S124							
	10	Mongan, et al., An Extensive and Diverse Gene Family of Nicotinic Acetylcholine Receptor α Subunits in Caenorhabditis elegans, <i>Receptors and Channels</i> , 213-228							
	11	PCT International Search Report, PCT/GB 00/02270							
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PEXAMINER: In	nitial if refer	ence considered, whether or not cita	tion is in conformance with MPEP 60	9. Draw line through citation if n	ot in conformance ar	nd not considered.	Include copy of th	is form wi	

\*\*Copies of references not provided at the time of this submission.